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THE ADMINISTRATOR'S ROLE

IN HUMAN RELATIONS

(TITLE)

BY

Sydney A. Nelson

**PLAN B PAPER**

SUBMITTED IN PARTIAL FULFILLMENT OF THE REQUIREMENTS FOR  
THE DEGREE MASTER OF SCIENCE IN EDUCATION  
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I HEREBY RECOMMEND THIS PLAN B PAPER BE ACCEPTED AS  
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## CHAPTER I

### INTRODUCTION

Before actually discussing the role of the principal and its regard for human relations, it seems necessary to examine the concept of human relations. The term is taken from the field of the social sciences. It would be difficult to extend the maturity level of the social sciences beyond early adolescence. It was not until the end of the nineteenth century and the beginning of the twentieth century that any formal institutions were created in this area. In the fields of literature, art, and music man has for centuries been expressing and exploring his relationship to other men. Authors have posed pensive questions and interpretations of man's social dealings but to little avail.

It is only now that constructive thought on man as a social being is developing. We expect and hope for peace among nations, yet families, neighbors, and friends as well as strangers quarrel violently at times. We seek to conquer space and explore unknown worlds; yet, what is a more complex mystery than human behavior patterns and personalities?

Perhaps in the above paragraphs doubts arise in regard to the relationship of the content and the principal, but if one were to take that stroll down the hall of a school,

secondary or elementary, he may readily see how little we are actually able to give our children. How little we understand the relationships we have with others let alone comprehend the student's problems. As he departs from a classroom he may wish that this were not the model to which the child is to aspire. In the teachers' lounge he may be astonished by some of the biased comments, and as he departs from school he may think about the words "through education we are able to become our best selves?"

#### Purpose of This Study

Proper role playing depends, in essence, on the role player's comprehension of the activity he is to assume; and one does see the wide divergence in the views held of the principalship. The purpose of this study is to facilitate a better understanding of the role of the principal as it is viewed by exponents of a "human relations" or "clinical" approach to administration. Exponents of the "human relations" approach as found in Campbells and Gregg's Administrative Behavior in Education state that organizations are composed of people.

People interacting in their efforts to achieve their individual and mutually defined goals determine the relationships which constitute the ever dynamic and shifting structure of organization. Since the relationships change, the structure changes and we call the patterns observed in the changes 'process.' But all we are really talking about are the continuously changing patterns of relationships among people.<sup>1</sup>

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<sup>1</sup>Roald F. Campbell and Russell T. Gregg (ed.), Administrative Behavior in Education (New York: Harper and Brothers, Publishers, 1957), pp. 110-11.

Administration is merely a name which we attach to some arbitrarily defined parts of the structure or parts of the process; it is a quite arbitrary distinction and designation of some of the relationships in organization. Anyone who thinks he can govern an organization--and this is what most people really mean when they say 'administer'--is really suffering from self-delusion. The behavior, the patterns, and the destiny of an organization are determined by forces within it and outside it far deeper and more powerful than any individual can even comprehend let alone control or govern.<sup>2</sup>

It is assumed that the topic of study is quite vital not only to those who are interested in entering the realm of education as a principal, but also those who have become immersed in out-dated, over-simplified theories which actually limit learning.

#### How The Data Were Obtained

The data were obtained from selected books written since 1949, advocating the "human relations" approach to administration and from the library at Eastern Illinois University and available in the Department of Administration and Supervision, Eastern Illinois University. Supplementary reading was done in current publications, both educational and noneducational.

#### Type of Data Collected and The Purpose for Which Data Were Used

The data collected would come under the heading of four categories. Case studies were used to clarify a point or idea. Current views and approaches in administration are

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<sup>2</sup> Ibid.

cited and discussed in view of their perceived significance to the topic. Factual informative data was scrutinized in regard to problems developing in human relations. The data were used to formulate a picture of the role of the principal as viewed by the exponents of the "human relations" approach to administration.

#### Definition of Terms

**Administrator** - for the purpose of the paper, administrator will refer to the secondary school principal.

**Authoritarian Control** - a type of relationship between administrator and teaching staff, in which policy and practice tend to be determined primarily and sometimes entirely by those of higher rank; used to emphasize a domineering relationship in contrast to democratic or comparative attitude toward teachers.

**Democratic Control** - a system of group organization and action in which there is a high degree of sharing in determination of policy, respect for minority views, common consent, and joint responsibility for results.

**Human Relations** - is at its present stage of development the practice of a skill by which one learns to relate himself to his social surroundings.

"Human relations skill" in particular is the capacity of a person to communicate his feelings and ideas to others, to receive such communications from others, and to respond to their feelings and ideas in such a fashion as to promote congenial participation in a common task.

"Human relations" is a way of behaving, of acting or not acting toward human beings in terms of the ideals and value patterns of our democratic society; a way of responding to social situations, and to the individuals and groups which produce these situations; the unity of interacting personalities bound together in an organized relationship in which the characteristic mode of social interaction is determined not by racial or

ethnic differences, interfaith conflicts, socio-economic disparities, and cultural and educational factors, but by respect for individual personality, and the dignity and worth of human beings.<sup>3</sup>

- Pragmatism - the doctrine that thought or ideas have value only in terms of their practical consequences and that results are the sole test of validity.
- Role - behavior patterns of functions expected of or carried out by an individual in a given societal context.
- Supervision - all efforts of designated school officials directed toward providing leadership to teachers and other educational workers in the improvement of instruction; involves the stimulation of professional growth and development of teachers, the selection and revision of educational objectives, materials of instruction, and methods of teaching, and the evaluation of instruction.

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<sup>3</sup>Daniel E. Griffiths, Human Relations in School Administration (New York: Appleton-Century-Crofts, Inc., 1956), p. 17.



## CHAPTER II

## AUTHORITARIAN POINT OF VIEW

At the outset it is recognized that it will be necessary in certain instances to form value judgments dealing with the principal's behavior pattern in his relationships. The judgments will not be critical in their results rather they will be attempts at devising a pragmatic view of social relationships. Simply, one does tend to praise and appreciate the "job well done" and it is this that one must seek in regard to relationships. It is, in exact terminology, the schools' responsibility to educate. Vague and ambiguous as the terms appear it is still the schools' quandary placed in its hands by society. Education is a vital link in all areas of life and the school, as has been stated, is the organ of society which must carry out this responsibility. At the present time procedures, processes, and even values are not what they should be for the education of young minds; nonetheless, one must live in, as well as create anew, the world. Therefore, the value judgments found within this paper tend to rely on the pragmatic view that the principal who functions most effectively, as can be judged by his successes in human relations, is the principal whose procedures and patterns should be followed.

The first area which will be discussed is the principal as he is seen in the instructional situation, and the problems arising. As Harris states, myth or not, the idea of the school principal as the instructional leader is a strong one that is almost universal in American public education. The problem arising is that the typical school principal is much more of a manager than an instructional leader. This tends to be particularly true of the secondary principal. Moreover, his interest and much of his training lie in the area of management.<sup>4</sup> No matter what in actuality exists, he is in charge of the instructional program both in the minds of the school and society. This may be viewed as an insurmountable task; how can one man be responsible for all that goes on in various classrooms: English, French, Spanish, government, social studies, art, home economics and physical education? He is a specialist in one area in charge of specialists in vastly different areas. How is this dilemma solved?

The principal in charge of instruction comes under the heading of supervision. Adams and Dickey define supervision as service, particularly concerned with increasing the effectiveness of the teaching-learning situation.<sup>5</sup> Since supervision indicates a position for helping, the question should be asked, in what way may the principal help the

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<sup>4</sup>Ben M. Harris, Supervisory Behavior in Education (Englewood Cliffs, N. J.: Prentice-Hall, Inc., 1963), p. 142.

<sup>5</sup>Harold P. Adams and Frank G. Dickey, Basic Principles of Supervision (Chicago: American Book Co., 1953), p. 4.

teacher when both his time necessary for administrative details and his specialized knowledge are limited? He is not able to make out all the lesson plans for his teachers, that would be in the area of the ridiculous, he need only be an effectively functioning person in communication, and there are different approaches to developing relationships when one is in a superordinate position.

The first may be termed the directive or authoritarian. The problems involved here may be easily seen. Adams and Dickey, when referring to this approach, state that supervision which depends for its success upon the authority of the supervisor, or upon his assumed knowledge of the superior teaching methods and techniques leads to a situation which lessens the creativity of the teacher.<sup>6</sup> It leads to a rather frightful rigidity not only in the classroom situation but in the relationships (principal-teacher) which are necessary for an effective school. Appreciation is certainly not demonstrated nor respect for the dignity of an individual by stating "it must be done this way!" The absolute ruler will find that through his own methods that the major responsibility rests upon his own shoulders. He is being worked for rather than with, and since the leadership is not shared neither is the desire and enthusiasm necessary for success. The faculty will become either rebellious or dormant and totally disinterested in what occurs

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<sup>6</sup>Ibid., p. 6.

outside their classroom. According to Wilber A. Yauch, domination is deliberately concerned with creating dependency upon the action of the leader.<sup>7</sup>

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<sup>7</sup> Wilber A. Yauch, Improving Human Relations in School Administration (New York: Harper and Brothers, 1949), p. 42.

## CHAPTER III

## HUMAN RELATIONS POINT OF VIEW

A different approach, more a reversal of the previously mentioned one is the more non-directive or "democratic approach". The "democratic" concept with the human relations approach of supervision is the idea of the cooperation of teachers and supervisors upon the problem of improving instruction. Yauch professes that external factors such as working conditions make little change in productive efficiency. But changes in social and psychological organization are of tremendous importance in deciding how much work a person will do.<sup>8</sup>

According to Harris, it has been found that participatory leadership seemed to have greater influence on group and individual judgments and tends to produce more desirable results, and he further states that in this frame of reference, this approach could be termed the "shirt sleeves approach" for within its depths the leader (principal) actually is participating.<sup>9</sup> Here the entire school is allowed not only to exist, but to grow. Whenever possible

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<sup>8</sup> Ibid., p. 21.

<sup>9</sup> Harris, pp. 391-92.

the principal shares decision making with the faculty; therefore, they too receive credit or blame for the successes or failures of the change. According to Griffiths the principal tends to judge not according to ambiguous personal standards but by objective criterion which all know about and understand.<sup>10</sup> The administrator must also function as a buffer, a shock absorber, listening to various opinions without translating each into immediate action.

Much more could be discussed on the topic, the non-directive administrator, but in summation it is here that the individual, may he be teacher or pupil, achieves his own high level of efficiency without fear of the stiffling influence of the authoritative administrator. Here is an approach which serves a principal not only as a supervisor but as an administrator. A climate is established in which the staff member feels compelled or drawn by his own willingness to attain a definite goal which is now meaningful to him. A teacher in this type of environment stated, "I just don't understand it. Nobody tells me I have to do a thing. But I find myself at my work all day, evenings, and weekends, and enjoying it immensely."<sup>11</sup> Anderson and Davies in referring to the power held by the principal state that it may be simply authoritative or facilitative. The former is used to preserve

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<sup>10</sup> Griffiths, p. 153.

<sup>11</sup> Vivienne Anderson and Daniel R. Davies, Patterns of Educational Leadership (Englewood Cliffs, N. J.: Prentice-Hall, Inc., 1956), p. 195.

power already attained; the latter toward getting the job done.<sup>12</sup> As one administrator said, "There is no amount to the end of good one can do if he does not worry about who will receive the credit."<sup>13</sup>

Two views of the administrator have been discussed, and the administrator in his role as viewed by exponents of the "human relations" approach to administration will now be considered. But first it would appear best to refer back to the previously mentioned statement that the social sciences pertaining to human relations are at best in their early adolescence; therefore, it does not seem surprising that many individuals involved in this area maintain beliefs which are not only erroneous but harmful if one is to carry out the human relations approach. According to Benne and Muntyan, some of these ideas which must be eliminated are:

1. Administrators thinking of themselves as "applied psychologists" and believing that there are no standards for evaluation except personal ones.
2. That intangible qualities of personality rather than behavior skills are the vital elements. Therefore, one must select good personalities for little can be done in this area of training performance.
3. Paying attention to technique in human relations is somehow unethical and manipulative.<sup>14</sup>

There are definitely other problem areas but these three are where much conflict appears.

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<sup>12</sup> Ibid.

<sup>13</sup> Ibid.

<sup>14</sup> Kenneth D. Benne and Bozidar Muntyan, Human Relations in Curriculum Change (New York: The Dryden Press, 1951), pp. 269-70.

A key word to remember in the human relations approach is cooperation, which cannot be accomplished without communication or a working dialogue between the individuals involved. Kenneth D. Benne states that "effective and efficient problem-solving requires channels of communication within the system that make available all relevant data, including data concerning feelings and evaluations, both negative and positive, from each and every part of the system."<sup>15</sup> It is here that effective relationships are created and that the principal becomes a positive relator. Yauch states that the principal is in a position of and process of mutual stimulation.<sup>16</sup>

One characteristic is an active dialogue being carried out between administrator and faculty. One of the judgments a principal is required to make is the credibility of his own faculty. Gwynn says that one way of making this judgment is through observing the teacher, but poses the questions: "How is it possible to determine the ability of a teacher by observing one fifty-five minute period?" "What about the way in which the teacher carries out his extra-curricular activities?" "How does he function in home room?"<sup>17</sup> Teacher evaluation seems an arduous task, but some constructive work

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<sup>15</sup>Warren G. Bennis, Kenneth D. Benne and Robert Chin (ed.) The Planning of Change (New York: Holt, Rinehart and Winston, Inc., 1961), p. 232.

<sup>16</sup>Yauch, p. 39.

<sup>17</sup>Minor J. Gwynn, Theory and Practice of Supervision (New York: Dodd, Mead and Co., 1961), pp. 225-26.



can be done in this area with proper communication. One way to open this door is through a personal word of praise when it is warranted. According to Anderson and Davies, a teacher too often learns only of the negative side of his performance.<sup>18</sup> Many situations of evaluation must be considered, but, as was stated, the principal will only be able to explore these areas if he carries out effective communication with the members of the faculty. What does effective dialogue or communication really mean? Dialogue is a conversational discussion, with substance, between two or more persons.

According to Gwynn, the principal to whom one can relate is a well-balanced person with such added characteristics as being a good listener, an honest person (never betraying a confidence), a tolerant person, a keen student of human behavior, a modest person and one who recognizes individual differences, and deals with persons accordingly.<sup>19</sup> He must hear and understand the importance of what is being said, whether it be teacher, student, or parent. It has long been recognized that verbalizing ones feelings has a definite clarifying effect. In instances of minor problems it is a means by which an individual may solve his own dilemmas. According to Berrien and Bash, emotional catharsis allows anxieties to be reduced and the main function of the listener

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<sup>18</sup>Anderson and Davies, p. 16.

<sup>19</sup>Gwynn, p. 307.

is a supportive one, in that he is interested enough to take time to listen.<sup>20</sup> Finally, according to Anderson and Davies an administrator/principal must possess a security within himself in order to view his staff objectively.<sup>21</sup> If the administrator possesses this security within himself then it will be possible for him to more readily become an exponent of the human relations democratic view for he will not be fearful of losing his power position; and therefore, he can use his authority as facilitative power.

Another way to facilitate communication is through observation and understanding behavior patterns. According to Berrin and Bash, the motives of men, if correctly interpreted, give an important basis for understanding relationships and actions. They are expressions of man's needs derived in part from his physiological nature and in part from his psychological nature which is stimulated by his environment.<sup>22</sup> If one's actions are inappropriate in regard to his inferences about his feelings and attitudes, negative responses may be provoked. Parsons points out that five factors or needs, involved in job satisfaction are:

1. Self respect
2. Recognition
3. Satiation of wants

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<sup>20</sup>Berrin, F. K. and Wendell H. Bash, Human Relations: Comments and Cases (2d ed. rev.; New York: Harper and Brothers, Publishers, 1957), p. 180.

<sup>21</sup>Ibid., p. 13.

<sup>22</sup>Berrin and Bash, pp. 173-74.

4. Pleasure

5. Affection<sup>23</sup>

Viewing the above five factors in regard to the motives of an individual may give the administrator some insight into how he may be of help to the individual. Griffiths reported a case which shows the need for understanding the "why" of behavior.

A senior girl relates, 'I wouldn't have missed it for the world. It's a good show. He demands perfect silence then leaves the room. Soon we see him peeking through the window at the door taking names of the ones who are talking... When he was absent from his classroom, they would cover his blackboard with obscene names or throw his books out of the third story window... When he was teaching, they would pay very little attention to anything he had to say. Mr. Goodyear began wearing a path between his classroom and the vice-principals office...he placed numerous students on detention each day to punish them.'<sup>24</sup>

In considering the motives behind Mr. Goodyear's behavior it is obvious that he had neither the respect nor the affection of his pupils. "Could this be why he seeks to punish them?" Why was he unable to gain their recognition?" It appears that he is trying to maintain his own self-respect, but what was found within the school and within himself which the school situation magnified and made his task so difficult?

In seeking to understand motives, the term client-centered and problem-centered therapy are timely to the discussion. Is the principal to solve the problems such as Billy's tardiness by telling him sternly not to be tardy,

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<sup>23</sup> Daniel E. Griffiths, p. 36.

<sup>24</sup> Ibid., p. 23.

thus solving the problem (?); or is he to consider Billy? The client-centered approach forces us to consider Billy as a person; thereby Billy's tardiness was possibly only a symptom of the problem. A second example by Griffiths of the importance of understanding the motives of individuals deals with the behavior of a new principal. The new principal, Mr. Norwood, had already had arguments with the faculty and the board of education.

'Things were quiet for several weeks. Then one day Mr. Norwood struck a neighbor's child for tripping his own small son. News of the incident spread throughout the community, and soon school children began calling him such names as "Big Bully and "Old Meanie".

Soon after this he slapped the faces of two boys for shoving other students during the exchange of classes. The parents of the boys went to the board. A special meeting was called, where Mr. Norwood, the two boys and their parents were asked to appear. Mr. Norwood called the county superintendent and asked him to come to the meeting.<sup>25</sup>

Certainly the seriousness of this situation is apparent. It is true that much needed information is not given but it is known that he is a new principal having considerable difficulty in the area of human relations. "Why did he invite the county superintendent to come to the special meeting?" "Does this show the bias of the community and the need for a person outside the community to act as a referee?" It must also be noted that he had argued with members of the school board before the violent events occurred. "Were the events actually as violent as they were interpreted?"

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<sup>25</sup> Ibid., p. 24.

Many questions need to be answered. It would be wrong to formulate a judgment without a full understanding of Mr. Norwood's motives. To understand one's motives is to gain an insight with increases the depth and intensity of any communciation carried out with that person.

Thus far attempts have been made to clarify the somewhat vague picture of what a properly functioning administrator should be in the area of human relations. It is easily seen that there are no simple ABC's for decisions making. The best that can be done is to devise guidelines through which one can gain insight. As stated by Edwin J. Brown, the discovery of appropriate limits rather than the discovery of deeply laid truths is the actual concern of the administrator.<sup>26</sup> It has been previously pointed out and is stated again by Brown, "The biggest and hardest part of the job is getting along with people. An administrator's job is becoming more and more public relations."<sup>27</sup>

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<sup>26</sup> Edwin J. Brown, "Experienced School Men Talk to Beginners," Phi Delta Kappan, XLVII (February, 1966), p. 230.

<sup>27</sup> Ibid.

## CHAPTER IV

## SUMMARY

The main concern of this paper has been to show the principal's role in accordance with a democratic-human relations view of administration. Throughout the paper the sanctity of the individual was maintained. The principal was relating to individuals rather than the institution.

Two opposing views were examined, the authoritarian and the democratic. Then followed an analysis centered on the democratic approach. A picture was presented of the functioning democratic principal following the human relations view. Such ideas as the principal as a passive relator, understanding motives of behavior, and functioning as a buffer were seen. Then democratic procedures are seen in the manner of delegating authority, shared leadership, teacher evaluation, communication, problem solving channels, in charge of instruction, and as the creator of the proper psychological climate.

According to the exponents of the human relations view when anyone wants to think about administration the only meaningful questions are: How can the administrator behave

in such a way that this particular individual or this particular pair or group may be able to express jointly or individually their potential creative powers while satisfying their own goals as well as the goals of all others?<sup>28</sup>

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<sup>28</sup>Campbell and Gregg, p. 111.

## CHAPTER V

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